

National Aeronautics and
Space Administration



Innovation & Opportunity

VIRTUAL CONFERENCE

Propelling your business. Transitioning your technology.

October 20–22, 2020



SBIR/STTR Phase III

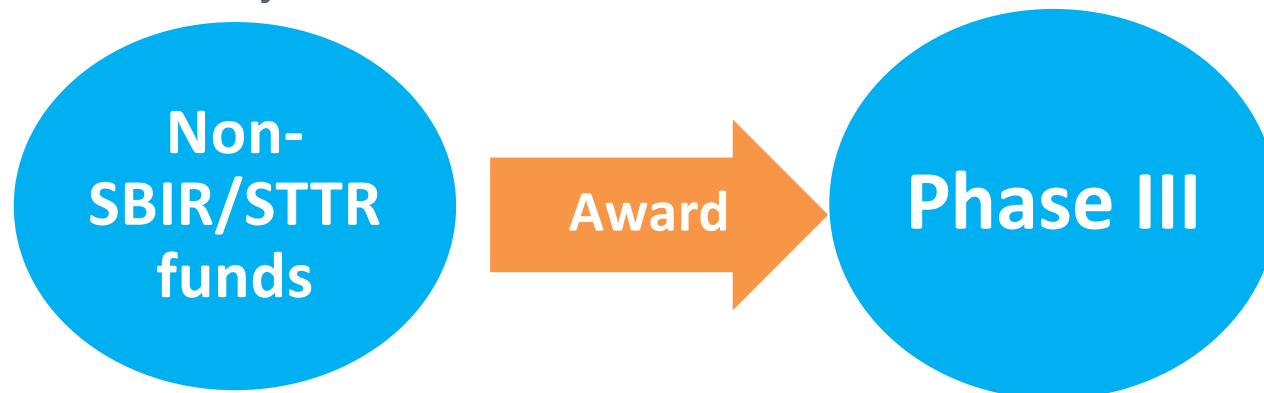
Theresa Stanley

NSSC Lead Contracting Officer

What is a Phase III?



“SBIR Phase III refers to work that derives from, extends, or completes an effort made under prior SBIR funding agreements, but is **funded by sources other than the SBIR Program**. Phase III work is typically oriented towards commercialization of SBIR research or technology.” – SBA SBIR/STTR Policy Directive



Some Amazing Features of a Phase III



**Accorded all
SBIR Data Rights**

**No further competition
required
after Phase I or II**

**No limit on the number,
duration, type, or
money value**

**No limit on the time
that may elapse
between a Phase I or
Phase II and Phase III,
or more Phase IIIs**

**Any Federal agency
may enter into a Phase
III SBIR agreement at
any time with a Phase I
or II awardee**

**The small business size
limits for Phase I and
Phase II awards do not
apply to Phase III
awards**

Common Questions



Q: Can NASA award a Phase III resulting from a Phase I or II effort awarded by another Agency?

A: A Phase III award can result from any prior SBIR/STTR Phase I or II funding agreement awarded by NASA or another Federal Agency.

Q: What if the SBC was acquired by another company?

A: The current policy indicates that a novated awardee or successor in interest may receive a Phase III award.

Q: Can I track the status of the Phase III award once the package is submitted in the EHB?

A: If you would like to track the status, check the NSSC website at <https://www.nssc.nasa.gov/sbir-search>. Once the package is received at NSSC, a processor and contracting officer will be assigned that can address specific inquiries.

References



Thank you!

For more information please visit:

<https://sbir.nasa.gov/content/post-phase-ii-initiatives>

SBA Policy Directive:

https://www.sbir.gov/sites/default/files/SBIR-STTR_Policy_Directive_2019.pdf